

11017 U.S. PRO
09/28/01

REV. 04/01
For Other Than A Small Entity

Attorney Docket No. CF-55

11017 U.S. PRO
09/28/01

Applicants : Carlton Bartels and Adam White
For : ELECTRONIC TRADING SYSTEM FOR SIMULATING
THE TRADING OF CARBON DIOXIDE EQUIVALENT
EMISSION REDUCTIONS AND METHODS OF USE

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EL889411134US.

Date of Deposit September 28, 2001

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Commissioner for Patents, Washington, D.C. 20231.

William Garcia
William Garcia

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER FOR UNEXECUTED
ORIGINAL PATENT APPLICATION

Sir:

Transmitted herewith for filing are the
[X] specification; [X] claims; [X] abstract; [X] unexecuted
declaration and power of attorney; [X] Nonpublication
Request Under 37 C.F.R. § 1.213(a), for the above-identified
patent application.

Also transmitted herewith are:

[X] 37 sheets of:

[] Formal drawings.

[X] Informal drawings. Formal drawings will be filed
during the pendency of this application.

092801

[] Certified copy(ies) of application(s)

(country)	(appln. no.)	(filed)
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(country)	(appln. no.)	(filed)
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(country)	(appln. no.)	(filed)
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from which priority is claimed.

[] An assignment of the invention to _____

[] A check in the amount of \$40.00 to cover the recording fee.

[] Please charge \$40.00 to Deposit Account No. 06-1075 in payment of the recording fee. A duplicate copy of this transmittal letter is transmitted herewith.

[] An associate power of attorney.

The filing fee has been calculated as shown below:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
BASIC FEE				\$710.00
TOTAL CLAIMS	21 - 20 = 1 X		\$18 =	\$ 18.00
INDEPENDENT CLAIMS	2 - 3 = 0 X		\$80 =	\$
[] MULTIPLE DEPENDENT CLAIMS			+ \$270 =	\$
TOTAL				<u>\$728.00</u>

[X] A check in the amount of \$728.00 in payment of the filing fee is transmitted herewith.

- [] This application is being filed unaccompanied by a filing fee. The appropriate filing fee will be paid in response to a Notice to File Missing Parts, pursuant to 37 C.F.R. § 1.53(f).
- [X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- [] Please charge \$_____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,



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J1052 U.S. PTO
09/28/01

PATENTS
Attorney Docket No. CF-55

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT APPLICATION

Applicants : Carlton Bartels and Adam White
Filed : Concurrently Herewith
For : ELECTRONIC TRADING SYSTEM FOR SIMULATING
THE TRADING OF CARBON DIOXIDE EQUIVALENT
EMISSION REDUCTIONS AND METHODS OF USE

J1017 U.S. PTO
09/967272
09/28/01

New York, New York 10020
September 28, 2001

Commissioner for Patents
Washington, D.C. 20231

NONPUBLICATION REQUEST
UNDER 37 C.F.R. § 1.213(a)

Sir:

Pursuant to 37 C.F.R. § 1.213(a), applicants hereby requests that the above-identified patent application, which is being filed concurrently herewith, not be published under 35 U.S.C. § 122(b). It is hereby certified that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

Respectfully submitted,



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